

## SERVICE HOTLINE

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**Designation:** Product Owner - Issuer Regulation

### AMENDMENTS TO THE STOCK EXCHANGE NEWS SERVICE (SENS) ANNOUNCEMENT TYPES AND SUBTYPES FOR JSE AND NSX SERVICES

Clients are advised that as part of our ongoing improvements as well as the introduction of certain Cutting Red Tape amendments to the Listing Requirements, we have identified some additional Announcement types and subtypes, to be implemented in the Stock Exchange News Service (SENS). The **changes will come into effect in the production environment on Monday, 21 August 2023**. The changes will be applicable to both the JSE SENS and Namibian Stock Exchange News Service (NENS).

These announcement types and subtypes changes will be visible via the **Live real-time Regulatory News Gateway** and will also be included in the **SENS End-of-Day products**. There is **no change to the Live real-time or EOD message/record formats and fields**, these are additional values in existing fields on announcements. Please refer to the end of this document for full details of the announcement types/subtype changes.

#### High Level Summary of Changes

**Amendments** to existing Announcement Types:

Announcement Type	Description of Changes
Annual General Meeting	1 new SubType
Debt Instrument	3 new SubTypes
Dividend/Interest	3 new SubTypes
Financial Results	6 new SubTypes
General Meeting	1 new SubType
Merger	1 new SubType
Name Change	1 new SubType
New Listing (Board/Instrument)	4 new SubTypes
Payments to Shareholders	1 new SubType
Rescue Operation	1 new SubType
Resource and Reserves	1 new SubType
Scheme of Arrangement	3 new SubTypes
Sector Transfer	1 new SubType

#### MARKETS / SERVICES:

- Equity Market
- Equity Derivatives Market
- Interest Rate Derivatives Market
- Currency Derivatives Market
- Commodity Derivatives Market
- Bond Market
- Bond ETP Market
- JSE Broker Deal Accounting (BDA)
- Real Time Clearing (RTC)
- Colocation
- International Access Point (IAP)
- EOD Information Subscribers
- Live Information Subscribers

#### ENVIRONMENT(S):

Production

#### ADDITIONAL INFORMATION:

If you have any queries about this announcement, please contact the Client Service Centre on +27 11 520 7777 or

[customersupport@jse.co.za](mailto:customersupport@jse.co.za)

Statements	2 new SubTypes
Trading Halt	2 new SubTypes
Unbundling	3 new SubTypes
Voluntary Winding Up	1 new SubType
Warrants	2 new SubTypes

### New Announcement Types and New SubTypes:

Announcement Type	Description of Changes
ETF Distribution	2 new SubType
Fraction Rate	1 new SubTypes

**NOTE:** An updated version of the [SENS NewsML Knowledge Item](#) (xml file) has been published which includes the new announcement types and subtypes. See below for more detailed descriptions of all announcement types and subtypes added to the xml file. In addition, we have provided a full extract of all available Announcement types/subtypes as part of the updated specs.

### Detailed List of Announcement Type and SubType Changes

#### Amendments to existing SENS Announcement Types i.e. New SubTypes

Announcement Type	New Announcement SubType(s)	SubType Description
<b>Annual General Meeting</b>		
	Updates to notice of annual general meeting	If an update is made to the original notice of Annual General Meeting
<b>Debt Instrument</b>		
	Delisting	Delisting (not redemption) of debt instrument
	Tap Issue	Additional listing (tap issue) of debt instruments
	Amendment to Programme	Amendment made to approved programme
<b>Dividend/Interest</b>		
	REIT Distribution	A real estate investment trust (REIT) distributing a dividend
	REIT reinvestment	A real estate investment trust (REIT) distributing a dividend with an option to reinvest (purchase more shares)
	Currency Conversion	The conversion rate of the declared currency to the local currency for distribution
<b>Financial Results</b>		
	Annual Financial Statements	Audited Annual Financial Statements of the Issuer prepared at the end of the financial year
	Summary financial statements	A summary version of the annual financial statements
	Condensed financial statements	Reviewed financial statements for an issuer's annual reporting period, prepared on the basis that the audited annual financial statements are not available.
	Results for a twelve-month period given the change in year end	A mandatory second set of interim results required because the issuer has changed its year end. The results are reviewed and are prepared for a twelve-month period commencing on the first day of the financial year end
	Annual report	Annual report of the issuer
	Restatements	Restatement of financial results
<b>General Meeting</b>		
	Updates to notice of general meeting	Update is made to the original notice of general Meeting
<b>Merger</b>		
	Merger	Two legal entities joining together to form one legal entity
<b>Name Change</b>		
	Name Change	An event where the registered name of a company is changed
<b>New Listing (Board/ Instrument)</b>		
	Interest Rate Market New Listing	New listing of an instrument on the Interest Rate Market
	Additional Listings/redemptions	Additional listing or redemption on an equity instrument
	ETF creations/redemptions	Additional listing or redemption on an ETF instrument
	Other Products	Additional listing or redemption on structured products
<b>Payment to shareholders</b>		
	Payment to Shareholders	Payments to holders of securities in terms of Section 46 (90) of the Act
<b>Rescue Operation</b>		
	Rescue Operation	A company being placed under business rescue
<b>Resources and Reserves</b>		
	Resources and Reserves	A mining company publishing resourcing and reserves information
<b>Scheme of Arrangement</b>		
	Declaration of scheme Salient Dates	Declaration of scheme salient dates
	Updates to Scheme	An update to the salient features of the declared scheme of arrangement
	Firm Intentions	An intention by the issuer to declare a scheme of arrangement
<b>Sector transfer</b>		
	Sector Transfer	Issuer moving from one sector to another on the board

Statements		
	Abridged Pre-Listing Statement updates	An update or change has been made to the original released Abridged Pre-listing statement
	Change statement	A statement by the issuer, and details therefore, regarding changes between the final audited annual financial statements and the information contained in the condensed financial statements
Trading Halt		
	Trading Halt	Trading halt effective date and reason for halt
	Trading Resumed	Trading has resumed post a previous trading halt
Unbundling		
	Cost apportionment	Apportion percentage of the original cost to the unbundled entity
	Closing Price	Publish the closing price of the source instrument at close of business on record date
	Voluntary Winding-Up	Winding up of a company and its subsequent removal from listing
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Warrants		
	Exercise	The warrant is exercised to purchase the underlying stock
	Payment	Cash payment to warrant holders based on the underlying share

### New Announcement Types with New SubTypes

Announcement Type	New Announcement SubType(s)	SubType Description
ETF Distribution	ETF Distribution	
	ETF Payments	An ETF Issuer declaring payments
	ETF Reinvestment	An ETF Issuer declaring a reinvestment
Fraction Rate	Fraction rate declared for a round down distribution	
	Fraction Rate	Fraction rate declared for a round down distribution

### SENS Services Documentation updates

These following documents are available for download at the following link: [SENS Services Documentation](#)

Services Documentation	Status	Description of Changes
JSE SENS Real Time Overview	No Change	A guidance note for JSE and NSX users writing solutions to receive and process the JSE SENS real time messages.
JSE SENS Real Time NewsML Specifications	No Change	A guidance note for software developers to understand the JSE NewsML specification as implemented within the JSE Stock Exchange News Service (SENS).
SENS Announcement Types and SubTypes	New	A full extract of all valid SENS Announcement Types and SubTypes (including all changes as detailed in this hotline above) <a href="#">SENS Announcement Types and SubTypes.xls</a>
SENS XML Files		
SENS NewsML catalog	No Change	Provides a list of scheme alias and their respective URLs used in the NewsML messages.
SENS NewsML knowledge item	Updated	Provides the definition of JSE defined elements used in the NewsML. XML file updated to include the amendments to the announcement types and subtypes <a href="#">ncdki-sens_20230711</a>
SENS NewsML extensions	No Change	Schema file provides the specifications for SENS extensions to the NewsML schema
SENS End-Of-Day Product Specification		
SENS End Of Day market Data Product Specifications	No Change	A guidance note for End-Of-Day SENS product subscribers and developers to understand the JSE NewsML specification as implemented within the JSE Stock Exchange News Service (SENS).

Please refer all queries relating to these specification documents via email to [CustomerSupport@jse.co.za](mailto:CustomerSupport@jse.co.za)