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**MARKET NOTICE**Johannesburg  
Stock ExchangeTel: +27 11 520 7000  
[www.jse.co.za](http://www.jse.co.za)

**Number:** 270/2021

**Relates to:**

- Equity Market
- Equity Derivatives Market
- Commodity Derivatives Market
- Currency Derivatives Market
- Interest Rate Derivatives Market
- Bond Market
- Bond ETP Market

**Date:** 25 June 2021

**SUBJECT:** **PROMOTING CONTINUED COMPLIANCE WITH THE LISTINGS REQUIREMENTS -  
CENSURES AND PENALTIES**

**Name and Surname:** Andre Visser  
**Designation:** Director – Issuer Regulation

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Dear Client,

As you are aware, the Johannesburg Stock Exchange (JSE)'s Issuer Regulation Division is the listings authority for listed companies on the exchange and is obliged to make and enforce its Listings Requirements and to supervise the compliance thereof.

The Investigations Unit within the Issuer Regulation Division is responsible for the enforcement of breaches of the Listings Requirements by conducting investigations and taking disciplinary action against issuers, their directors, officers, sponsors and designated advisors.

Through its enforcement action, the JSE seeks to promote continued compliance with the Listings Requirements and to deter transgressions of the Listings Requirements by issuers and other regulated persons. The JSE additionally aims to educate the market, influence a positive compliance culture, and enhance corporate governance, whilst driving investor protection.

In support of our commitment to promote understanding and transparency of our enforcement objectives and outcomes, the JSE has published a document titled **Censures and Penalties** detailing the public censures and penalties imposed by the JSE since 2014 to date and other important information relevant to the JSE's enforcement processes and actions.

The Censures and Penalties document is available on the JSE website at: <https://www.jse.co.za/regulation/issuer-regulation/investigations-unit>

Should you have any queries regarding this notice, please contact [investigations@jse.co.za](mailto:investigations@jse.co.za)

This Market Notice is available on the website at <https://clientportal.jse.co.za/communication/jse-market-notices>