

MARKET NOTICE

Johannesburg
Stock Exchange

Tel: +27 11 520 7000
www.jse.co.za

Number: 370/2022

Relates to:

- Equity Market
- Equity Derivatives Market
- Commodity Derivatives Market
- Currency Derivatives Market
- Interest Rate Derivatives Market
- Bond Market
- Bond ETP Market

Date: 2 August 2022

SUBJECT: FIC CALL FOR COMMENTS: DRAFT DIRECTIVE 6/2022 AND DRAFT PUBLIC COMPLIANCE COMMUNICATION 116

Name and Surname: Johnathan Cawood

Designation: Senior Manager - Member Supervision and Enforcement

Dear Member,

The Financial Intelligence Centre (FIC) is calling for comments from accountable and reporting institutions, supervisory bodies and other persons on the [draft Directive 6/2022](#) and [draft Public Compliance Communication 116](#).

Draft Directive 6/2022 requires accountable institutions to screen their employees for competence and integrity on a risk-based approach, as well as scrutinise employee information against the targeted financial sanctions lists. Draft Directive 6/2022 is supported by the Draft PCC 116, which provides guidance on how to comply with the requirements as found in the draft Directive 6/2022.

Comments on both drafts must reach the FIC by no later than **Friday, 19 August 2022**. Commentators are invited to comment on the draft guidance by making written submissions via the following online links only

[Draft Directive 6/2022](#)

[Draft PCC 116](#)

For queries, please contact the FIC's compliance contact centre on 012 641 6000, select option 1, or submit a web query by clicking on: <http://www.fic.gov.za/ContactUs/Pages/ComplianceQueries.aspx>

Should members have any questions regarding this Market Notice please contact Yekeen Hutharam in the Market Regulation Division at yekeenh@jse.co.za or (011) 5207893.

This Market Notice is available on the website at <https://www.jse.co.za/redirects/market-notice-and-circulars>