

Market Notice

Number: Note A1590A
Date 8 March 2012

Financial Intelligence Centre Notice – Public Compliance Communications

PCC11 – THE CLOSING OF A CLIENT’S ACCOUNT BY AN ACCOUNTABLE INSTITUTION AMOUNTS TO A TRANSACTION IN TERMS OF THE FIC ACT

PCC12 – OUTSOURCING OF COMPLIANCE ACTIVITIES TO THIRD PARTIES

PCC13 – SCOPE OF ITEM 12 OF SCHEDULE 1 TO THE FIC ACT, AS AMENDED, IN RELATION TO REGISTERED AUDITORS

PCC14 – CLIENT IDENTIFICATION AND VERIFICATION REQUIREMENTS WHEN A PERSON ACTS ON THE AUTHORITY OF ANOTHER

The JSE wishes to advise JSE members of Public Compliance Communications (“PCCs”) issued recently by the Financial Intelligence Centre (“FIC”) containing guidance provided by the FIC on various matters relating to compliance with the FIC Act.

The PCCs referred to above are attached to this Market Notice. These PCC’s also available on the JSE’s website: <http://www.jse.co.za/Regulation>.

Should you have any queries in respect of the above, please do not hesitate to contact the undersigned or the contacts as set out in the Centre’s letter.

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