

Market Notice

Number: A1853
Date 2 August 2013

INVITATION: FICA COMPLIANCE AWARENESS SESSION - 23 AUGUST 2013

Please join us for a FICA Compliance Awareness session hosted by the JSE and presented by the Financial Intelligence Centre. This session is scheduled for 23 August 2013 to be held at the JSE for all JSE Member Firms.

JSE Members will find the session useful in enhancing their awareness of compliance and enforcement matters in relation to the FIC Act as well as the requirements for adopting a risk based approach to compliance and enforcement.

THE SESSION WILL COVER

GENERAL COMPLIANCE

ENFORCEMENT

THE IMPLEMENTATION OF A RISK BASED APPROACH (RBA) TO COMPLIANCE AND ENFORCEMENT BASED ON THE FATF REVISED RECOMMENDATIONS

THE SESSION WILL BE OF INTEREST TO

Compliance Officers, Legal Advisors, Financial Directors, the Head of Firm, Account Opening Staff, or any person responsible for FICA related issues within your Member Firm.

You are welcome to distribute this invitation within your Firm.

DATE	Friday, 23 August 2013
TIME	09h00 to 16h00
VENUE	JSE Atrium
PARKING	Village Walk or 24 Central
RSVP	Emaccman@jse.co.za



JSE Limited Registration Number: 2005/022939/06
One Exchange Square, Gwen Lane, Sandown, South Africa.
Private Bag X991174, Sandton, 2146, South Africa. Telephone:
+27 11 520 7000, Facsimile: +27 11 520 8584, www.jse.co.za

Executive Director: NF Newton-King (CEO),
A Takoordeen (CFO)

Non-Executive Directors: HJ Borkum (Chairman), AD Botha,
MR Johnston, DM Lawrence, A Mazwai, Dr. MA Matooane , NP
Mnxasana, NS Nematswerani, N Nyembezi-Heita, N Payne

Alternate Directors: JH Burke, LV Parsons

Company Secretary: GC Clarke

Selena Russell

Designation Senior Manager
Division Market Regulation
Tel +27 11 520 7011
Fax +27 11 520 8011
E-mail address: selenar@jse.co.za

Distributed by the Company Secretariat +27 11 520 7346